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HE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 33542-2003

In re Application of:

Linkai BU et al.

Group Art Unit:

2644

Serial No.:

09/904,327

Examiner:

To be assigned

Filed:

July 12, 2001

For:

PERCEPTUAL PHONETIC

FEATURE SPEECH

RECOGNITION SYSTEM

AND METHOD

San Diego, California 92101 January 11, 2002

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. § 1.56, Applicants make the below-listed document of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

Other Document

1. Rabiner et al., Fundamentals of Speech Recognition, pages 321-389 (1993).

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage and an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Signature:

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A copy of the above-listed document, which is listed on the accompanying Form PTO-1449 (submitted in duplicate), is enclosed.

It is respectfully requested that this document be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

It is believed that no additional fee is required in connection with this submission. In the event that an additional fee is required, please charge our Deposit Account No. 02-0410, in the name of Baker & McKenzie.

Respectfully submitted,

Mitchell P. Brook Attorney for Applicant

Reg. No. 32,967

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SHEET 1 OF 1

| Form PTO-1449 (modified) INFORMATION DISCLOSURE CITATION AN APPLICATION (Use Several Sheets If Necessary) | | | | Docket No. | : 33542-2003 | Application No.: 09/904,327 | | |
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| | | | | Applicants: BU et al. | | | | |
| | | | | Filing Date | : July 12, 2001 | Group Art Unit: 2644 | | |
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| | Rabiner et al | , Fundament | als of Spee | ch Recognitio | on, pages 321-38 | 89 (1993). | | |
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| | Initial if citation is a conformance and | | | | | | o the applica | |